LSUC BY-LAWS - TABLE OF CONTENTS

|  |  |  |
| --- | --- | --- |
| **BY-Law** | **Topic** | **Page** |
| **BY-LAW 6.1** | **CONTINUING PROFESSIONAL DEVELOPMENT** | **977** |
| **BY-LAW 7.1** | **OPERATIONAL OBLIGATIONS and RESPONSIBILITIES** | **981** |
| Part I | Supervision of Assigned Tasks and Functions | 981 |
| Part II | Obligations Resulting From Suspension | 987 |
| Part III | Client Identification and Verification | 990 |
| Part IV | Withdrawal of Services | 1000 |
| **BY-LAW 8** | **REPORTING and FILING REQUIREMENTS** | **1001** |
| Part I | Reporting Requirements | 1001 |
| Part II | Filing Requirements | 1006 |
| Part III | Register | 1011 |
| **BY-LAW 9** | **FINANCIAL TRANSACTIONS and RECORDS** | **1013** |
| Part I | Interpretation | 1013 |
| Part II | Handling of Money by Bankrupt Licensee | 1015 |
| Part II.1 | Handling of Money by Licensee Whose License Suspended | 1016 |
| Part III | Cash Transactions | 1018 |
| Part IV | Trust Account | 1020 |
| Part V | Record Keeping Requirements | 1031 |

LSUC BY-LAWS - NUMERICAL INDEX

|  | Page | By-Law | Section |
| --- | --- | --- | --- |
| Continuing professional development | 977 | 6.1 | 1 |
| Continuing professional development - eligible activity | 977 | 6.1 | 1 |
| Eligible activity - interpretation - continuing professional development | 977 | 6.1 | 1 |
| Interpretation - eligible activity - continuing professional development | 977 | 6.1 | 1 |
| Continuing professional development - eligible activity - interpretation | 977 | 6.1 | 2(1) |
| Continuing professional development - requirement  | 977 | 6.1 | 2(1) |
| Eligible activity - interpretation - continuing professional development  | 977 | 6.1 | 2(1) |
| Continuing professional development - hours  SEE: hours; carry-over | 977978 | 6.16.1 | 2(2)2(5) |
| Hours - number required per year - continuing professional development | 977 | 6.1 | 2(2) |
| Number of required hours per year - continuing professional development | 977 | 6.1 | 2(2) |
| Hours - application - licensee - continuing professional development | 978 | 6.1 | 2(3) |
| Hours - number required per year - year of licensing  | 978 | 6.1 | 2(3) |
| New licensees - topics - at least 25% of hours - ethics, professionalism or practice management - continuing professional development | 978 | 6.1 | 2(3) |
| New Licensees - topics - ethics, professionalism or practice management - continuing professional development | 978 | 6.1 | 2(3) |
| Hours - exemption from or reduction in required number - continuing professional development | 978 | 6.1 | 2(4) |
| Licensee - Exemption from or reduction in required number hours - continuing professional development  | 978 | 6.1 | 2(4) |
| Carry-over - no carry over - hours - continuing professional development | 978 | 6.1 | 2(5) |
| Hours - no carry-over - continuing professional development | 978 | 6.1 | 2(5) |
| No carry-over - continuing professional development - continuing professional development  | 978 | 6.1 | 2(5) |
| Carry-over - permitted carry-over - activities completed in 2013 after licensed - continuing professional development  | 978 | 6.1 | 2(6) |
| Hours - permitted carry-over - new licensee - continuing professional development  | 978 | 6.1 | 2(6) |
| New licensee - permitted carry over - activities completed in 2013 after licensed - continuing professional development  | 978 | 6.1 | 2(6) |
| Continuing professional development - reporting - Licensee to whom s. 2 applies | 978 | 6.1 | 3(1) |
| Reporting - licensee to whom s. 2 applies - continuing professional development | 978 | 6.1 | 3(1) |
| Continuing professional development - reporting - form, format and manner of filing  | 978 | 6.1 | 3(2) |
| Filing - form, format and manner of - reporting - continuing professional development  | 978 | 6.1 | 3(2) |
| Form, format and manner of filing - continuing professional development | 978 | 6.1 | 3(2) |
| Reporting - form, format and manner of filing - continuing professional development | 978 | 6.1 | 3(2) |
| Continuing professional development - documents -  SEE: documents | 978978 | 6.16.1 | 4(1)4(1) |
| Documents required to be kept - continuing professional development | 978 | 6.1 | 4(1) |
| Requirement - documents to be kept - continuing professional development | 978 | 6.1 | 4(1) |
| Document - providing documents to Society - continuing professional development | 979 | 6.1 | 4(2) |
| Providing documents to Society - continuing professional development | 979 | 6.1 | 4(2) |
| Society - providing documents to Society - continuing professional development | 979 | 6.1 | 4(2) |
| Information - requirement to provide - continuing professional development | 979 | 6.1 | 5(1) |
| Provide information - requirement to - continuing professional development  | 979 | 6.1 | 5(1) |
| Requirement to provide information - continuing professional development | 979 | 6.1 | 5(1) |
| Information - notice of requirement - continuing professional development | 979 | 6.1 | 5(2) |
| Notice of requirement - to provide information - continuing professional development | 979 | 6.1 | 5(2) |
| Information - time for providing - continuing professional development | 979 | 6.1 | 5(3) |
| Time for providing information - continuing professional development | 979 | 6.1 | 5(3) |
| Extension of time - providing information - continuing professional development | 979 | 6.1 | 5(4) |
| Information - extension for providing - continuing professional development | 979 | 6.1 | 5(4) |
| Time for providing information - extension of time - continuing professional development | 979 | 6.1 | 5(4) |
| Extension of time - request for - continuing professional development | 979 | 6.1 | 5(5) |
| Request for extension of time - continuing professional development | 979 | 6.1 | 5(5) |
| Time - extension - request for - continuing professional development | 979 | 6.1 | 5(5) |
| Additional authority to require information | 979 | 6.1 | 5(6) |
| Information - additional authority to request - continuing professional development | 979 | 6.1 | 5(6) |
| Society - additional authority to require information | 979 | 6.1 | 5(6) |
| Continuing professional development - requirement - late compliance - fee | 979-980 | 6.1 | 6(1) |
| Fee for late compliance - obligation in s. 2 | 979-980 | 6.1 | 6(1) |
| Compliance - late - hours - eligible activities - fee  | 980 | 6.1 | 6(1)(a) |
| Fee for late compliance - hours - eligible activities  | 980 | 6.1 | 6(1)(a) |
| Hours - eligible activities - late compliance - fee  | 980 | 6.1 | 6(1)(a) |
| Late compliance - fee - hours - eligible activities | 980 | 6.1 | 6(1)(a) |
| Late compliance - hours - eligible activities - fee | 980 | 6.1 | 6(1)(a) |
| Compliance - late - reporting - fee  | 980 | 6.1 | 6(1)(b) |
| Fee for late compliance - reporting  | 980 | 6.1 | 6(1)(b) |
| Late compliance - fee - reporting  | 980 | 6.1 | 6(1)(b) |
| Reporting - failure to comply - fee | 980 | 6.1 | 6(1)(b) |
| Reporting - late compliance - fee | 980 | 6.1 | 6(1)(b) |
| Compliance - late - reporting and hours - fee  | 980 | 6.1 | 6(1)(c) |
| Fee for late compliance - reporting and hours  | 980 | 6.1 | 6(1)(c) |
| Late compliance - fee - reporting and hours  | 980 | 6.1 | 6(1)(c) |
| Reporting and hours - late compliance - fee | 980 | 6.1 | 6(1)(c) |
| Due date - fee for late compliance  | 980 | 6.1 | 6(2) |
| Due date - late compliance - fee  | 980 | 6.1 | 6(2) |
| Fee for late compliance - due date  | 980 | 6.1 | 6(2) |
| Late compliance - fee - due date | 980 | 6.1 | 6(2) |
| Default - period of - late compliance  | 980 | 6.1 | 6(3) |
| Fee for late compliance - period of default  | 980 | 6.1 | 6(3) |
| Late compliance - fee - period of default | 980 | 6.1 | 6(3) |
| Period of default - fee for late compliance | 980 | 6.1 | 6(3) |
| Failure to pay late fee - suspension under s. 46(1) - reinstatement of license  | 980 | 6.1 | 6(4) |
| Reinstatement of license - if suspension under s. 46(1) - failure to pay late fee | 980 | 6.1 | 6(4) |
| Suspension under s. 46(1) - failure to pay late fee - reinstatement of license | 980 | 6.1 | 6(4) |
| Commencement - Bylaw 6.1 | 980 | 6.1 | 7 |
| Date comes into force - Commencement - Bylaw 6.1 | 980 | 6.1 | 7 |
| Canadian Law Student - Interpretation | 981 | 7.1 | 1(1) |
| Interpretation - Canadian Law Student | 981 | 7.1 | 1(1) |
| Catastrophic Impairment - Interpretation | 981 | 7.1 | 1(1) |
| Catastrophic impairment - interpretation  | 981 | 7.1 | 1(1) |
| Claim - Interpretation | 981 | 7.1 | 1(1) |
| Interpretation - Claim | 981 | 7.1 | 1(1) |
| Clinical education course or program - Interpretation | 981-982 | 7.1 | 1(1) |
| Interpretation - clinical education course or program | 981-982 | 7.1 | 1(1) |
| Interpretation - Non-Licensee | 982 | 7.1 | 1(1) |
| Non-Licensee - Interpretation | 982 | 7.1 | 1(1) |
| Licensee firm - Interpretation | 982 | 7.1 | 1(1) |
| Interpretation - Licensee firm | 982 | 7.1 | 1(1) |
| Ontario law student - Interpretation | 982 | 7.1 | 1(1) |
| Interpretation - Ontario law student | 982 | 7.1 | 1(1) |
| Ontario paralegal student - Interpretation | 982 | 7.1 | 1(1) |
| Interpretation - Ontario paralegal student | 982 | 7.1 | 1(1) |
| Interpretation - Catastrophic Impairment | 981 | 7.1 | 1(1) |
| Impairment - catastrophic - interpretation  | 981-982 | 7.1 | 1(1) |
| Impairment - Interpretation | 982 | 7.1 | 1(1) |
| Interpretation - impairment  | 982 | 7.1 | 1(1) |
| Interpretation - *Statutory Accident Benefits Schedule* | 982 | 7.1 | 1(1) |
| *Statutory Accident Benefits Schedule* - Interpretation | 982 | 7.1 | 1(1) |
| Effective Control - Interpretation | 982 | 7.1 | 1(2) |
| Interpretation - Effective Control | 982 | 7.1 | 1(2) |
| Application - Provision of Legal Services by Student | 983 | 7.1 | 2 |
| Legal Services - Provision by Student - supervision by licensee | 983 | 7.1 | 2 |
| Licensee - Supervision of Student - supervision by licensee | 983 | 7.1 | 2 |
| Providing Legal Services - Student - supervision by licensee | 983 | 7.1 | 2 |
| Student - Provision of Legal Services - supervision by licensee | 983 | 7.1 | 2 |
| Student - provision of legal services application - supervision by licensee | 983 | 7.1 | 2 |
| Assignment of Tasks - Functions - Canadian Law Student or Ontario Paralegal Student - permitted Setting | 983 | 7.1 | 2.1(1) |
| Assignment of Tasks - functions - to student - by licensee | 983-984 | 7.1 | 2.1(1)-(6) |
| Assignment of Tasks - to students - permitted Setting | 983 | 7.1 | 2.1(1) |
| Permitted Setting - Assignment of Tasks - Licensee | 983 | 7.1 | 2.1(1) |
| Licensee - Assignment of Tasks - permitted Setting | 983 | 7.1 | 2.1(1) |
| Setting - Assignment of Tasks - Licensee | 983 | 7.1 | 2.1(1) |
| Law Student - Assignment of Tasks - Setting | 983 | 7.1 | 2.1(1) |
| Assignment of Tasks - Functions - Ontario Law Student or Ontario Paralegal Student | 983 | 7.1 | 2.1(2) |
| Ontario Law Student or Ontario Paralegal Student - Assignment of tasks, functions | 983 | 7.1 | 2.1(2) |
| Application - Provision of Legal Services by Law Student - direct supervision by licensee | 984 | 7.1 | 2.1(3)-(4) |
| Law Student - Provision of Legal Services - direct supervision by licensee | 984 | 7.1 | 2.1(3)-(4) |
| Legal Services - Provision by Law Student - direct supervision by licensee | 984 | 7.1 | 2.1(3)-(4) |
| Licensee - Direct supervision of Law Student | 984 | 7.1 | 2.1(3)-(4) |
| Providing Legal Services - Law Student - direct supervision by licensee | 984 | 7.1 | 2.1(3)-(4) |
| Supervision (direct) of articling student - direct supervision of student by licensee | 984 | 7.1 | 2.1(3)-(4) |
| Assignment of Tasks - Direct Supervision Required (of Canadian law student, Ontario Law student or Ontario paralegal student) | 984 | 7.1 | 2.1(3)-(4) |
| Assignment of tasks - to student - direct supervision required | 984 | 7.1 | 2.1(3)-(4) |
| Licensee - Assignment of Tasks - Direct Supervision of student | 984 | 7.1 | 2.1(3)-(4) |
| Non-application - Assignment of tasks, functions - Canadian law student or Ontario paralegal student | 984 | 7.1 | 2.1(5) |
| Assignment of tasks, functions - Canadian law student or Ontario paralegal student - Non-application | 984 | 7.1 | 2.1(5) |
| Assignment of tasks, functions - Non-application - Canadian law student or Ontario paralegal student | 984 | 7.1 | 2.1(5) |
| Canadian law student or Ontario paralegal student - Assignment of tasks, functions - Non-application | 984 | 7.1 | 2.1(5) |
| Application - Assignment of tasks, functions - Canadian law student or Ontario paralegal student | 984 | 7.1 | 2.1(6) |
| Assignment of tasks, functions - Canadian law student or Ontario paralegal student - Application | 984 | 7.1 | 2.1(6) |
| Assignment of tasks, functions - Application - Canadian law student or Ontario paralegal student | 984 | 7.1 | 2.1(6) |
| Canadian law student or Ontario paralegal student - Assignment of tasks, functions - Application | 984 | 7.1 | 2.1(6) |
| Application - Provision of Legal Services by Non-licensee - direct supervision by licensee of non-licensee | 984 | 7.1 | 3(1) |
| Legal Services - Provision by Non-licensee - direct supervision by licensee of non-licensee | 984 | 7.1 | 3(1) |
| Non-licensee - Provision of Legal Services - direct supervision by licensee of non-licensee | 984 | 7.1 | 3(1) |
| Non-Licensee supervision - Direct supervision by licensee of Non-licensee | 984 | 7.1 | 3(1) |
| Providing Legal Services - Non-licensee - direct supervision by licensee of non-licensee | 984 | 7.1 | 3(1) |
| Supervision (direct) of non-licensee - direct supervision by licensee of non-licensee | 984 | 7.1 | 3(1) |
| Assignment of Tasks - Functions (general) - to non-licensee | 984 | 7.1 | 3(1) |
| Assignment of Tasks - General - to non-licensee | 984 | 7.1 | 3(1) |
| Assignment of Tasks - to non-licensee - Functions (general) | 984 | 7.1 | 3(1) |
| Functions and tasks - assignment to non-licensee | 984 | 7.1 | 3(1) |
| Non-Licensee - Assignment of Tasks to  SEE: Assignment of Tasks | 984984 | 7.17.1 | 3(1)3(1) |
| Affiliation - Assignment of Tasks | 985 | 7.1 | 3(2) |
| Assignment of Tasks - Affiliation | 985 | 7.1 | 3(2) |
| Assignment of Tasks - Direct Supervision Required (of non-licensees) | 985 | 7.1 | 4(1)-(2) |
| Assignment of tasks - to non-licensee - direct supervision required  | 985 | 7.1 | 4(1)-(2) |
| Direct Supervision - Assignment of Tasks | 985 | 7.1 | 4(1)-(2) |
| Licensee - Assignment of Tasks - Direct Supervision of non-licensee | 985 | 7.1 | 4(1)-(2) |
| Supervision - Assigned Tasks | 985 | 7.1 | 4(1)-(2) |
| Supervision - of non-licensee - assignment of tasks | 985 | 7.1 | 4(1)-(2) |
| Activities of non-licensee - prior express instruction required  | 985-986 | 7.1 | 5(1) |
| Assignment of Tasks - Prior Express Instruction and Authorization Required | 985-986 | 7.1 | 5(1) |
| Assignment of Tasks - to non-licensee - prior express instruction required  | 985-986 | 7.1 | 5(1) |
| Non-licensee - Assignment of Tasks - prior express instruction required  | 985-986 | 7.1 | 5(1) |
| Prior Express Instruction - Assignment of Tasks | 985-986 | 7.1 | 5(1) |
| Assignment of Tasks - Prior Consent and Approval - supervision of non-licensees | 986 | 7.1 | 5(2) |
| Client consent - negotiation by non-licensee  | 986 | 7.1 | 5(2) |
| Negotiation by Non-Licensee - prior consent and approval | 986 | 7.1 | 5(2) |
| Non-licensee - Assignment of Tasks - Prior Consent and Approval | 986 | 7.1 | 5(2) |
| Prior Consent - Assignment of Tasks - to non-licensees | 986 | 7.1 | 5(2) |
| Ancillary Issues relating to catastrophic impairment claims, Mediation of - Assignment of Tasks | 986 | 7.1 | 5.1(1)-(2) |
| Assignment of Tasks - catastrophic impairment issues - mediation of ancillary issues  | 986 | 7.1 | 5.1(1)-(2) |
| Assignment of Tasks - Mediation of Ancillary Issues relating to Catastrophic Impairment Issues | 986 | 7.1 | 5.1(1)-(2) |
| Catastrophic Impairment Issues: Assignment of Mediation of Ancillary Issues | 986 | 7.1 | 5.1(1)-(2) |
| Class L1 License - Participation in Mediation relating to Catastrophic Impairment Claims | 986 | 7.1 | 5.1(1)-(2) |
| Class P1 License - Participation in Mediation relating to Catastrophic Impairment Claims | 986 | 7.1 | 5.1(1)-(2) |
| Mediation of Ancillary Issues - Catastrophic Impairment Claims - Assignment of Tasks | 986 | 7.1 | 5.1(1)-(2) |
| Non-licensees - Assignment of Tasks - catastrophic impairment issues - mediation of ancillary issues  | 986 | 7.1 | 5.1(1)-(2) |
| Non-licensees - Assignment of Tasks - Mediation of Ancillary Issues relating to Catastrophic Impairment Issues | 986 | 7.1 | 5.1(1)-(2) |
| Supervision of Non-licensees - Assignment of Tasks - catastrophic impairment issues - mediation of ancillary issues  | 986 | 7.1 | 5.1(1)-(2) |
| Supervision of Non-licensees - Assignment of Tasks - Mediation of Ancillary Issues relating to Catastrophic Impairment Issues | 986 | 7.1 | 5.1(1)-(2) |
| Assignment of Tasks - Tasks that may not be assigned - to non-licensees | 986 | 7.1 | 6(1) |
| Non-licensee - assignment of tasks - tasks that may not be assigned | 986 | 7.1 | 6(1) |
| Legal Advice - Non-Licensee (not permitted) | 986 | 7.1 | 6(1)(a) |
| Non-Licensee - Legal Advice | 986 | 7.1 | 6(1)(a) |
| Assignment of Tasks - Tasks that may not be assigned - by a Class L1 Licensee | 987 | 7.1 | 6(2) |
| Class L1 licensee - assignment of tasks - tasks that may not be assigned by  | 987 | 7.1 | 6(2) |
| Collection Letter Requirements | 987 | 7.1 | 7 |
| Collection Letter - Requirements - Licensee | 987 | 7.1 | 7 |
| Licensee - Collection Letter - Requirements | 987 | 7.1 | 7 |
| Requirements - Collection Letter - Licensee | 987 | 7.1 | 7 |
| Former Client - Interpretation | 987 | 7.1 | 8 |
| Interpretation - Former Client | 987 | 7.1 | 8 |
| Interpretation - Prospective Client | 987 | 7.1 | 8 |
| Interpretation - Suspended Licensee - obligations resulting from suspension | 987 | 7.1 | 8 |
| Interpretation - Suspension Order - obligations resulting from suspension | 988 | 7.1 | 8 |
| Obligations resulting from suspension - former client - interpretation  | 987 | 7.1 | 8 |
| Obligations resulting from suspension - prospective client - interpretation  | 987 | 7.1 | 8 |
| Obligations resulting from suspension - suspended licensee - interpretation  | 987 | 7.1 | 8 |
| Obligations resulting from suspension - suspension order - interpretation  | 988 | 7.1 | 8 |
| Prospective Client - Interpretation | 987 | 7.1 | 8 |
| Suspension - obligations resulting from - former client - interpretation | 987 | 7.1 | 8 |
| Suspension - obligations resulting from - prospective client - interpretation  | 987 | 7.1 | 8 |
| Suspension - obligations resulting from - suspended licensee - interpretation | 987 | 7.1 | 8 |
| Suspension - obligations resulting from - suspension order - interpretation | 988 | 7.1 | 8 |
| Suspension Order - Interpretation (obligations resulting from suspension) | 988 | 7.1 | 8 |
| Existing Client - Interpretation | 987 | 7.1 | 8(a)-(b) |
| Interpretation - Existing Client | 987 | 7.1 | 8(a)-(b) |
| Obligations resulting from suspension - existing client - interpretation  | 987 | 7.1 | 8(a)-(b) |
| Suspension - obligations resulting from - existing client - interpretation | 987 | 7.1 | 8(a)-(b) |
| Notice Requirements before suspension begins | 988 | 7.1 | 9(1)-(2) |
| Suspension - Obligations - Notice Requirement before suspension begins | 988 | 7.1 | 9(1)-(2) |
| Suspension - compliance with subclauses 1(a)(i) to (iii) not required - continuing professional development | 988 | 7.1 | 9(2) |
| Notice Requirements during suspension | 988 | 7.1 | 10(a)-(b) |
| Suspension - Obligations - Notice Requirement - Requirements During Suspension | 988 | 7.1 | 10(a)-(b) |
| Notice requirements - Suspension - Prospective clients - obligations | 989 | 7.1 | 11 |
| Notice Requirements: prospective clients | 989 | 7.1 | 11 |
| Prospective clients - obligations - suspension - notice requirement  | 989 | 7.1 | 11 |
| Suspension - Obligations - Notice Requirement - Prospective Clients | 989 | 7.1 | 11 |
| Suspension - obligations - notice requirement - prospective clients  | 989 | 7.1 | 11 |
| Client - final report to - suspension - obligations  | 989 | 7.1 | 12 |
| Final Report to Client - suspension - obligations  | 989 | 7.1 | 12 |
| Report - final report to client - work remaining on file | 989 | 7.1 | 12 |
| Suspension - obligations - work remaining on file - final report to client  | 989 | 7.1 | 12 |
| Work remaining on file - final report to client | 989 | 7.1 | 12 |
| Suspension - Obligations - Work Remaining on File | 989 | 7.1 | 12-13 |
| Work Remaining on File - Suspension | 989 | 7.1 | 12-13 |
| Client - fulfillment of undertakings - suspension - obligations  | 989 | 7.1 | 13 |
| Suspension - obligations - work remaining on file - fulfillment of undertakings  | 989 | 7.1 | 13 |
| Undertakings - fulfillment of - suspension - obligations  | 989 | 7.1 | 13 |
| Undertakings - fulfillment of - work remaining on file | 989 | 7.1 | 13 |
| Work remaining on file - Suspension - obligations - fulfillment of undertakings  | 989 | 7.1 | 13 |
| Licensee - suspended   SEE: suspension or SEE ALSO: suspended licensee | 987-990987-9901016 | 7.17.19 | 8-198-192.1 |
| Notice Requirements - Suspensions | 988-990 | 7.1 | 9(1)-17 |
| Corporate records - suspension - obligations  | 989 | 7.1 | 14 |
| Power of attorney - suspension - obligations  | 989 | 7.1 | 14 |
| Suspension - obligations - preparation of will  | 989 | 7.1 | 14 |
| Will - preparation of - suspension - obligations  | 989 | 7.1 | 14 |
| Additional requirement: preparation of will, power of attorney, corporate records | 989 | 7.1 | 14(1) |
| Requirement - preparation of will, power of attorney, corporate records | 989 | 7.1 | 14(1) |
| Will - power of attorney, corporate records - preparation of (suspension of licence) | 989 | 7.1 | 14(1) |
| Suspension - Obligations - Wills | 989 | 7.1 | 14(1)p1 |
| Wills - Suspension of Licence | 989 | 7.1 | 14(1)p1 |
| Power of Attorney (preparation of) - Suspension | 989 | 7.1 | 14(1)p2 |
| Suspension - Obligations - Power of Attorney | 989 | 7.1 | 14(1)p2 |
| Corporate Records (preparation of by suspended licensee) | 989 | 7.1 | 14(1)p3 |
| Suspension - Obligations - Corporate Records | 989 | 7.1 | 14(1)p3 |
| Original Documents - Suspension | 989 | 7.1 | 14(2) |
| Requirement - for original documents - suspension | 989 | 7.1 | 14(2) |
| Suspended Licensee - original documents - Licensee should | 989 | 7.1 | 14(2) |
| Suspension - Obligations - Original Documents | 989 | 7.1 | 14(2) |
| Real Estate Law: direction re Teranet access disk | 989 | 7.1 | 15 |
| Suspension - obligations - real estate law - Teranet access disk | 989 | 7.1 | 15 |
| Suspension - Obligations - Teranet Access | 989 | 7.1 | 15 |
| Suspension - Teranet - access | 989 | 7.1 | 15 |
| Teranet - Access - Suspension (real estate law) | 989 | 7.1 | 15 |
| Teranet access disk - real estate law - suspension - obligations  | 989 | 7.1 | 15 |
| Photo Identification (return of) - Suspension  | 990 | 7.1 | 16 |
| Photo identification card - return of - suspension - obligations  | 990 | 7.1 | 16 |
| Suspension - Obligations - Photo Identification (return of card) | 990 | 7.1 | 16 |
| Suspension - obligations - return of photo identification card | 990 | 7.1 | 16 |
| Suspension - return of photo identification card | 990 | 7.1 | 16 |
| Articling Student - Suspension of licensee supervisor - obligations | 990 | 7.1 | 17 |
| Suspension - obligations - to articling student | 990 | 7.1 | 17 |
| Articling Student - Where Licensee Suspended | 990 | 7.1 | 17(a)-(c) |
| Student - Where Licensee Suspended | 990 | 7.1 | 17(a)-(c) |
| Suspension - Obligations - Students, Articling | 990 | 7.1 | 17(a)-(c) |
| Compliance - suspended Licensee - report to law society | 990 | 7.1 | 18 |
| Report to Society - Suspended Licensee | 990 | 7.1 | 18 |
| Society - Report upon Suspension | 990 | 7.1 | 18 |
| Suspended Licensee - report to Society - compliance | 990 | 7.1 | 18 |
| Suspension - Obligations - Report to Society | 990 | 7.1 | 18 |
| Suspension - obligations - report to Society on compliance | 990 | 7.1 | 18 |
| Exemption - Permission to be exempt from requirement - suspension | 990 | 7.1 | 19 |
| Request to Society - Exemption from Requirement upon Suspension | 990 | 7.1 | 19 |
| Society - Request for Exemption of Requirement upon Suspension | 990 | 7.1 | 19 |
| Suspension - Obligations - Exemption from Requirement - Request to Society | 990 | 7.1 | 19 |
| Suspension - obligations - exemption: application for exemption from requirements  | 990 | 7.1 | 19 |
| Suspension - Permission to be exempt from requirement | 990 | 7.1 | 19 |
| Client identification and verification - financial entity - definition  | 991 | 7.1 | 20 |
| Definition - Electronic Funds Transfer | 991 | 7.1 | 20 |
| Definition - financial entity | 991 | 7.1 | 20 |
| Electronic Funds Transfer - Definition | 991 | 7.1 | 20 |
| Electronic funds transfer - definition - client identification and verification  | 991 | 7.1 | 20 |
| Financial entity | 991 | 7.1 | 20 |
| Financial Entity - Definition | 991 | 7.1 | 20 |
| Financial entity - definition - client identification and verification  | 991 | 7.1 | 20 |
| Definition - Financial institution | 991 | 7.1 | 20 |
| Financial Institution - Definition | 991 | 7.1 | 20 |
| Client identification and verification - financial institution - definition  | 991 | 7.1 | 20 |
| Financial institution - definition - client identification and verification  | 991 | 7.1 | 20 |
| Client identification and verification - electronic funds transfer - definition  | 991 | 7.1 | 20 |
| Client Identification and Verification | 990-999 | 7.1 | 20-25 |
| Identification -  SEE: client identification and verification | 990-999990-999 | 7.17.1 | 20-2520-25 |
| Verification - client - SEE: client verification requirements | 990-999996 | 7.17.1 | 20-2523(4) |
| Client identification and verification - funds - definition  | 991 | 7.1 | 20 |
| Client identification and verification - lawyer - definition  | 991 | 7.1 | 20 |
| Client identification and verification - organization - definition  | 991 | 7.1 | 20 |
| Client identification and verification - proceeding - definition  | 992 | 7.1 | 20 |
| Definition - Funds - Client identification and verification | 991 | 7.1 | 20 |
| Definition - Lawyer | 991 | 7.1 | 20 |
| Definition - Organization | 991 | 7.1 | 20 |
| Definition - Proceeding | 992 | 7.1 | 20 |
| Funds - definition - client identification and verification  | 991 | 7.1 | 20 |
| Lawyer - Definition | 991 | 7.1 | 20 |
| Lawyer - definition - client identification and verification  | 991 | 7.1 | 20 |
| Organization - Definition | 991 | 7.1 | 20 |
| Organization - definition - client identification and verification  | 991 | 7.1 | 20 |
| Proceeding - Definition | 992 | 7.1 | 20 |
| Proceeding - definition - client identification and verification  | 992 | 7.1 | 20 |
| Definition - Public body - Client identification and verification | 992 | 7.1 | 20 |
| Public Body - Definition | 9921018-1019 | 7.19 | 203 |
| Client identification and verification - reporting issuer - definition  | 992 | 7.1 | 20 |
| Definition - Reporting issuer | 992 | 7.1 | 20 |
| Reporting issuer - definition - client identification and verification  | 992 | 7.1 | 20 |
| Client identification and verification - securities dealer - definition  | 992 | 7.1 | 20 |
| Definition - Securities dealer | 992 | 7.1 | 20 |
| Securities Dealer - Definition | 992 | 7.1 | 20 |
| Securities dealer - definition - client identification and verification  | 992 | 7.1 | 20 |
| Reporting Issuer - Definition | 992 | 7.1 | 20 |
| Application - client identification and verification | 993 | 7.1 | 21 |
| Client identification and verification - application of section  | 993 | 7.1 | 21 |
| Application - client identification and verification requirements | 993 | 7.1 | 22(1) |
| Client identification and verification - application of section - requirements  | 993 | 7.1 | 22(1) |
| Client identification and verification - Requirements - application | 993 | 7.1 | 22(1) |
| Requirement - application - client identification and verification  | 993 | 7.1 | 22(1) |
| Verification Requirements - Client  | 993 | 7.1 | 22(1) |
| Client Identification and Verification - application | 993-994 | 7.1 | 21-22 |
| Client Identification and Verification - Application - Exemptions - Certain Licensees | 993 | 7.1 | 22(2) |
| Exemption - re certain Licensees - client identification and verification application | 993 | 7.1 | 22(2) |
| Licensee - Exemption - client identification and verification | 993 | 7.1 | 22(2) |
| Client Identification and Verification - Application - Exemptions - Certain Funds | 994 | 7.1 | 22(3) |
| Client identification and verification - certain trust funds - exemption | 994 | 7.1 | 22(3)(b) |
| Court Order funds - exemption - client identification and verification application | 994 | 7.1 | 22(3)(d) |
| Electronic Funds Transfer - exemption - client identification and verification application | 994 | 7.1 | 22(3)(h) |
| Exemption - certain trust funds - client identification and verification application | 994 | 7.1 | 22(3)(b) |
| Fine or Penalty - exemption - client identification and verification application | 994 | 7.1 | 22(3)(e) |
| Funds Received from Financial Institution - exemption - client identification and verification application | 994 | 7.1 | 22(3)(a) |
| Funds Received from Public Body - exemption - client identification and verification application | 994 | 7.1 | 22(3)(a) |
| Funds Received from Public Officials - exemption - client identification and verification application | 994 | 7.1 | 22(3)(c) |
| Professional Fees - exemption - client identification and verification application | 994 | 7.1 | 22(3)(g) |
| Settlement Funds - exemption - client identification and verification application | 994 | 7.1 | 22(3)(f) |
| Trust Funds - exemption - client identification and verification application | 994 | 7.1 | 22(3)(b) |
| Client Identification and Verification - Application - Exemptions - re certain licensees | 993-994 | 7.1 | 22(2)-(4) |
| Client identification and verification - application of section - exemptions from requirements | 993-994 | 7.1 | 22(2)-(4) |
| Client identification and verification - application of section - exemptions re certain funds | 993-994 | 7.1 | 22(3)-(4) |
| Client identification and verification - application - exemption certain clients | 994 | 7.1 | 22(4) |
| Client Identification and Verification - Application - Exemptions - Certain Clients | 994 | 7.1 | 22(4) |
| Clients - exemption - client identification and verification application | 994 | 7.1 | 22(4) |
| Exemption - certain clients - client identification and verification application | 994 | 7.1 | 22(4) |
| Exemption - Client Identification and Verification (certain clients) | 994 | 7.1 | 22(4) |
| Financial Institution - client identification and verification application - Exemption | 994 | 7.1 | 22(4)p1 |
| Public Body - client identification and verification application - Exemption | 994 | 7.1 | 22(4)p2 |
| Reporting Issuer - client identification and verification application - Exemption | 994 | 7.1 | 22(4)p3 |
| Client Identification - Licensee | 994-995 | 7.1 | 23(1) |
| Licensee - client identification | 994-995 | 7.1 | 23(1) |
| Client Identification - Requirements | 994-995 | 7.1 | 23(1)-(2) |
| Identification and Verification, Client SEE: Client Identification and Verification - Requirements | 994-996994-996 | 7.17.1 | 23(1)-(4)23(1)-(4) |
| Client identification - information required - client identification and verification  | 994-999 | 7.1 | 23 |
| Client identification and verification - client identification - information required  | 994-999 | 7.1 | 23 |
| Client identification - identification by others in Licensees firm | 995 | 7.1 | 23(2.1) |
| Client Identification - Requirements - Identification by Others | 995 | 7.1 | 23(2.1) |
| Firm - client identification by others in Licensees firm | 995 | 7.1 | 23(2.1) |
| Client Identification - client as organization | 995 | 7.1 | 23(2) |
| Organization - client identification | 995 | 7.1 | 23(2) |
| Client identification - previous identification | 996 | 7.1 | 23(3) |
| Client Identification - Requirements - Previous Identification | 996 | 7.1 | 23(3) |
| Previous identification (client identification) | 996 | 7.1 | 23(3) |
| Client Verification - Requirements  | 996 | 7.1 | 23(4) |
| Requirement - client verification | 996 | 7.1 | 23(4) |
| Individuals - timing of verification | 996 | 7.1 | 23(5) |
| Timing of verification, individuals | 996 | 7.1 | 23(5) |
| Verification - timing - individuals | 996 | 7.1 | 23(5) |
| Client Verification - Requirements - Timing (individuals & organizations) | 996 | 7.1 | 23(5)-(6) |
| Organization - timing of verification | 996 | 7.1 | 23(6) |
| Timing of verification, organization | 996 | 7.1 | 23(6) |
| Verification - timing - organization | 996 | 7.1 | 23(6) |
| Independent Sources Documents - Client Verification | 996-997 | 7.1 | 23(4)-(7) |
| Records - Client Verification | 996-997 | 7.1 | 23(4)-(8) |
| Client identification - examples - independent source documents | 996-997 | 7.1 | 23(7) |
| Client Verification - Requirements - Independent Source Documents | 996-997 | 7.1 | 23(7) |
| Examples - independent source documents - client identification | 996-997 | 7.1 | 23(7) |
| Source documents - examples of independent source documents - client identification | 996-997 | 7.1 | 23(7) |
| Client verification - non face-to-face | 997 | 7.1 | 23(8) |
| Face-to-face, client verification | 997 | 7.1 | 23(8) |
| Non face-to-face - client verification | 997 | 7.1 | 23(8) |
| Attestation - non face-to-face - persons from whom may be accepted - client identification  | 997-998 | 7.1 | 23(9) |
| Client identification - Persons from whom attestations may be accepted - non face-to-face | 997-998 | 7.1 | 23(9) |
| Non face-to-face - client verification - persons from whom attestation may be accepted | 997-998 | 7.1 | 23(9) |
| Attestation - Client Verification When Non-Face-to-Face | 997-998 | 7.1 | 23(8)-(10) |
| Client Verification - Requirements -Non Face-to-Face Requires Attestations | 997-998 | 7.1 | 23(8)-(10) |
| Attestation Form - Non face-to-face - client verification | 998 | 7.1 | 23(10) |
| Client verification - attestation form - non face-to-face | 998 | 7.1 | 23(10) |
| Non face-to-face - attestation form - client verification | 998 | 7.1 | 23(10) |
| Agent, Use of - Client Verification | 998 | 7.1 | 23(11) |
| Client Verification - Requirements - Agent, Use of | 998 | 7.1 | 23(11) |
| Client verification - use of agent | 998 | 7.1 | 23(11) |
| Client verification - previous verification | 999 | 7.1 | 23(12) |
| Client Verification - Requirements - Previous Verification | 999 | 7.1 | 23(12) |
| Client identification - copies to be retained - documents | 999 | 7.1 | 23(13) |
| Client Verification - Requirements - Copies Must be Obtained | 999 | 7.1 | 23(13) |
| Retention - copies - documents - client identification | 999 | 7.1 | 23(13) |
| Client Identification and Verification - Requirements - Verification - Records Must be Retained | 999 | 7.1 | 23(14) |
| Record retention - client identification | 999 | 7.1 | 23(14) |
| Retention - record - client identification | 999 | 7.1 | 23(14) |
| Client identification and verification - criminal activity - lawyer knows at time of compliance | 999 | 7.1 | 24 |
| Client Identification and Verification - Criminal Activity, Duty to Withdraw | 9991000 | 7.1 | 2427 |
| Criminal Activity - Client Identification and Verification - Duty to withdraw - At time of taking information | 999 | 7.1 | 24 |
| Criminal activity - Duty to withdraw - At time of taking information | 999 | 7.1 | 24 |
| Criminal activity - lawyer knows at time of identification compliance - client identification and verification  | 999 | 7.1 | 24 |
| Duty to withdraw - Criminal activity - Time of taking information | 999 | 7.1 | 24 |
| Illegal Conduct - Client Identification and Verification | 999 | 7.1 | 24 |
| Withdrawal - duty - criminal activity - time of taking information | 999 | 7.1 | 24 |
| Client identification and verification - commencement | 999 | 7.1 | 25 |
| Part III - Client identification and verification - commencement | 999 | 7.1 | 25 |
| Part III - Date section comes into force - Client identification and verification - commencement | 999 | 7.1 | 25 |
| Licensee - withdrawal of services  | 1000 | 7.1 | 26-27 |
| Withdrawal of services - licensee | 1000 | 7.1 | 26-27 |
| Withdrawal of Services - Application - Part IV | 1000 | 7.1 | 26-27 |
| Criminal activity - duty to withdraw services - licensee | 1000 | 7.1 | 27 |
| Criminal Activity - Withdrawal of Services - Duty to withdraw | 1000 | 7.1 | 27 |
| Criminal activity, Duty to withdraw after being retained | 1000 | 7.1 | 27 |
| Duty to withdraw - Criminal activity - after being retained | 1000 | 7.1 | 27 |
| Illegal Conduct - Duty to Withdraw Services | 1000 | 7.1 | 27 |
| Licensee - withdrawal of services - duty to withdraw  | 1000 | 7.1 | 27 |
| Withdrawal of Services - Criminal Activity - Duty to Withdraw after being retained | 1000 | 7.1 | 27 |
| Bankruptcy of Licensee - Notice | 1001 | 8 | 1 |
| Insolvency of Licensee - Notice | 1001 | 8 | 1 |
| Licensee - Notice of Bankruptcy or Insolvency | 1001 | 8 | 1 |
| Notice - Bankruptcy or Insolvency of Licensee | 1001 | 8 | 1 |
| Notice - Bankruptcy or Insolvency of Licensee - Receiving Order | 1001 | 8 | 1 |
| Notice - Bankruptcy or Insolvency of Licensee - Assignment  | 1001 | 8 | 1 |
| Receiving Order - Bankruptcy or Insolvency of Licensee - Notice | 1001 | 8 | 1 |
| Assignment - Bankruptcy or Insolvency of Licensee - Notice | 1001 | 8 | 1 |
| Reporting Requirements - Bankruptcy or Insolvency - Licensees (Notice to Law Society) | 1001 | 8 | 1 |
| Licensee - Offenses - Requirement to Report  | 1001-1002 | 8 | 2(1) |
| Offences - Requirement of Licensees to Report | 1001-1002 | 8 | 2(1) |
| Requirement to Report offences - Licensees | 1001-1002 | 8 | 2(1) |
| Licensee - Offences - Requirement to Report | 1001-1002 | 8 | 2(1) |
| Notice - Offences  | 1001-1003 | 8 | 2(1)-(5) |
| Reporting Requirements - Offences - Licensees  See: Offences | 1001-10031001-1002 | 88 | 2(1)&(5)2(1) |
| Licensee - Offences, Reporting of - Exception - Private Prosecution | 1002 | 8 | 2(2) |
| Offences - Requirement of Licensees to Report - Exception - Private Prosecution | 1002 | 8 | 2(2) |
| Private Prosecution - Requirement to Report | 1002 | 8 | 2(2) |
| Reporting Requirements - Offences - Licensees - Exception - Private Prosecution | 1002 | 8 | 2(2) |
| Requirement to Report offences - Licensees - Exception - Private Prosecution | 1002 | 8 | 2(2) |
| Licensee - Offences, Reporting of - Time of Report | 1002 | 8 | 2(3)&(4) |
| Licensee - Requirement to Report - Offenses - Time to report | 1002 | 8 | 2(3)&(4) |
| Offences - Requirement of Licensees to Report - Time of Report | 1002 | 8 | 2(3)&(4) |
| Requirement to Report offences - Licensees - Time of Report | 1002 | 8 | 2(3)&(4) |
| Time of Report - Requirement of Licensees to Report Offences | 1002 | 8 | 2(3)&(4) |
| Indictable Offence - Interpretation | 1003 | 8 | 2(5) |
| Interpretation - Indictable Offence | 1003 | 8 | 2(5) |
| Information - Licensees Requirement to Provide | 1003 | 8 | 3(1) |
| Licensee - Providing Information | 1003-1004 | 8 | 3(1)&(2) |
| Providing Information - Licensees Requirement | 1003-1004 | 8 | 3(1)&(2) |
| Reporting Requirements - Providing Information | 1003-1004 | 8 | 3(1)&(2) |
| Interpretation - Personal Contact Information | 1003-1004 | 8 | 3(2)(a) |
| Personal Contact Information - Interpretation | 1003-1004 | 8 | 3(2)(a) |
| Reporting Requirements - Offences - Licensees - Time of Report | 1004 | 8 | 2(3)&(4) |
| Business Contact Information - Interpretation | 1003-1004 | 8 | 3(2)(b) |
| Interpretation - Business Contact Information | 1003-1004 | 8 | 3(2)(b) |
| Information - Licensee - Requirement to Provide - Notice of Requirement | 1004 | 8 | 3(3) |
| Licensee - Providing Information - Notice of Requirement | 1004 | 8 | 3(3) |
| Providing Information - Notice of Requirement | 1004 | 8 | 3(3) |
| Reporting Requirements - Providing Information - Licensee - Notice of Requirement | 1004 | 8 | 3(3) |
| Information - General - Time for Providing | 1004 | 8 | 3(4) |
| Information - License - Requirement to Provide - Time to Report | 1004 | 8 | 3(4) |
| Providing Information - Licensee - Time to Report | 1004 | 8 | 3(4) |
| Reporting Requirements - Providing Information - Licensees - Time to Report | 1004 | 8 | 3(4) |
| Time to Report - Providing Information - Licensee - Requirement to Provide | 1004 | 8 | 3(4) |
| Time for Providing Information - Extension - General | 1004 | 8 | 3(5) |
| Providing Information - Extension of Time | 1004 | 8 | 3(5) |
| Extension of Time - Requirement to Provide Information and Request for Extension | 1004-1005 | 8 | 3(5)&(6) |
| Information - Licensee - Requirement to Provide - Time to Report - Extension of Time | 1004-1005 | 8 | 3(5)&(6) |
| Licensee - Providing Information - Time to Report - Extension of Time | 1004-1005 | 8 | 3(5)&(6) |
| Providing Information - Time to Report - Exception | 1004-1005 | 8 | 3(5)&(6) |
| Reporting Requirements - Providing Information - Licensees - Time to Report - Extension of Time | 1004-1005 | 8 | 3(5)&(6) |
| Extension of Time - Providing Information | 1005 | 8 | 3(6) |
| Providing Information - Request for Extension of Time | 1005 | 8 | 3(6) |
| Authority - Additional Authority - Providing Information - General | 1005 | 8 | 3(7) |
| Authority of Society - Requirement of Licensee to Provide Information | 1005 | 8 | 3(7) |
| Information - Licensees Requirement to Provide - Society’s Authority | 1005 | 8 | 3(7) |
| Licensee - Providing Information - Society’s Authority | 1005 | 8 | 3(7) |
| Providing Information - Additional Authority | 1005 | 8 | 3(7) |
| Providing Information - Society’s Authority | 1005 | 8 | 3(7) |
| Reporting Requirements - Providing Information - Licensees - Society’s Authority | 1005 | 8 | 3(7) |
| Society’s Authority - Requirement of Licensee to Provide Information | 1005 | 8 | 3(7) |
| Information - Changes - Requirement to Report | 1005 | 8 | 4(1) |
| Reporting Changes - Requirement to Report Change | 1005 | 8 | 4(1) |
| Change of Information - Requirement of Licensee to Report | 1005-1006 | 8 | 4(1)-(4) |
| Licensee - Reporting Changes of Information | 1005-1006 | 8 | 4(1)-4(4) |
| Reporting Requirements - Changes of Information | 1005-1006 | 8 | 4(1)-4(4) |
| Requirement to Report Changes of Information - Licensee | 1005-1006 | 8 | 4(1)-4(4) |
| Reporting Changes - Personal and Business Contact Information - Interpretation | 1005-1006 | 8 | 4(2) |
| Personal and Business Contact Information - Change of Information - Interpretation | 1005-1006 | 8 | 4(2) |
| Reporting Changes - Information Required | 1006 | 8 | 4(3) |
| Reporting Changes - Information Required - Details and Effective Date of Change | 1006 | 8 | 4(3) |
| Reporting Changes - Documents and Explanations  | 1006 | 8 | 4(4) |
| Documents and Explanations - Reporting Changes - Information Required | 1006 | 8 | 4(4) |
| Requirement to File Annual Report - Licensee - Filing Requirement  | 1006 | 8 | 5(1) |
| Filing Requirements - Form Format and Manner of Filing | 1006 | 8 | 5(2) |
| Form, Format and Manner of Filing - Filing Requirements | 1006 | 8 | 5(2) |
| Annual Report - Licensee Requirement to File - Annual Report | 1006-1008 | 8 | 5(1)-5(8) |
| Licensee - Requirement to File - Annual Report  | 1006-1008 | 8 | 5(1)-5(8) |
| Exception - Form Format and Manner Exception - Filing Requirements | 1007 | 8 | 5(2.1) |
| Filing Requirements - Form Format and Manner - Exception | 1007 | 8 | 5(2.1) |
| Form Format and Manner - Exception - Filing Requirements | 1007 | 8 | 5(2.1) |
| Exemption - Requirement to File Annual Report | 1007 | 8 | 5(3) |
| Requirement to File Annual Report - Licensee - Exemption | 1007 | 8 | 5(3) |
| Filing Requirements - Exemption from Requirement to File Annual Report | 1007 | 8 | 5(3) |
| Filing Requirements - Application by Licensee Representatives - ExemptionSee: Exemption from Requirement to File Annual Report | 10071007 | 88 | 5(4)5(3) |
| Filing Requirements - Application Form - Exemption to File Annual Report | 1007 | 8 | 5(5) |
| Filing Requirements - Documents and Explanations - Exemption to File Annual Report | 1007-1008 | 8 | 5(6) |
| Filing Requirements - Consideration of Application | 1008 | 8 | 5(7) |
| Filing Requirements - Consideration of Application - Exemption to File Annual Report | 1008 | 8 | 5(7) |
| Requirement to File Annual Report - Exemption | 1007-1008 | 8 | 5(3)-5(8) |
| Annual Report - Licensee Requirement to File - Exemption | 1007-1008 | 8 | 5(3)-5(8) |
| Licensee - Submission of Annual Report - Exemption | 1007-1008 | 8 | 5(3)-5(8) |
| Filing Requirements - Duration of Exemption | 1008 | 8 | 5(8) |
| Duration of Exemption - Filing Requirements - Exemption to File Annual Report  | 1008 | 9 | 5(8) |
| Annual Report - Licensee Requirement to File - Period of Default | 1008 | 8 | 6(1) |
| Period of Default - Annual Report - Licensee Failure to Complete or File (2013 and Later) | 1008 | 8 | 6(2) |
| Period of Default - Annual Report - Licensee Failure to Complete or File (2014 and Later) | 1008 | 8 | 6(3) |
| Filing Requirements - Failure to File Annual Report - Default Date (2014 and Later) | 1008 | 8 | 6(3) |
| Late Filing Fee - 2013 | 1008 | 8 | 6(4) |
| Filing Fee - Late Filing (2014 and Later) | 1009 | 8 | 6(5) |
| Late Filing Fee - 2014 and Later - Failure to File Annual Report  | 1009 | 8 | 6(5) |
| Payment Due - Annual Report - Failure to Complete or File | 1009 | 8 | 6(6) |
| Filing Requirements - Failure to Complete or File (Annual Report) - Payment Due | 1009 | 8 | 6(6) |
| Default for Late Fee - 2013 - Failure to Complete or File (Annual Report)  | 1009 | 8 | 6(7) |
| Filing Requirements - Failure to File Annual Report - Default for Late Fee (2013) | 1009 | 8 | 6(7) |
| Annual Report - Licensee Requirement to File - Failure to Complete or File (Period of Default) | 1008-1009 | 8 | 6(1)-6(8) |
| Failure to File - Annual Report | 1008-1009 | 8 | 6(1)-6(8) |
| Suspension for Failure to Complete or File Annual Report - Period of Default | 1008-1009 | 8 | 6(1)-6(8) |
| Filing Requirements - Period of Default | 1008-1009 | 8 | 6(1)-6(8) |
| License - Suspension for Failure to Submit Annual Report | 1008-1009 | 8 | 6(1)-6(8) |
| Licensee - Requirement to File Annual Report - Failure to File | 1000-1001 | 8 | 6(1)-6(8) |
| Requirement to File Annual Report - Failure to File (Suspension) | 1008-1009 | 8 | 6(1)-6(8) |
| Requirement to File Annual Report - Period of Default | 1008-1009 | 8 | 6(1)-6(8) |
| Fail to Pay Late Filing Fee - Period of Default - 2014 and Later | 1009 | 8 | 6(8) |
| Filing Requirements - Failure to Pay Late Fee - Period of Default (2014 and later) | 1009 | 8 | 6(8) |
| Late Filing Fee - Reinstatement of License | 1009 | 8 | 6(9) |
| Reinstatement of License - Due to Late Filing Fee | 1009 | 8 | 6(9) |
| Filing Requirements - Reinstatement of License - Pay Late Fee | 1009 | 8 | 6(9) |
| Filing Requirements - Requirement to File Public Accountant's Report | 1009 | 8 | 7(1) |
| Licensee - Submission of Accountant’s Report | 1009 | 8 | 7(1) |
| Public Accountant Report - Licensee’s Requirement to File | 1009 | 8 | 7(1) |
| Annual Report - Public Accountant’s Report | 1009 | 8 | 7(1) |
| Filing Requirements - Contents of Report and Time for Filing | 1009 | 8 | 7(2) |
| Licensee - Submission of Accountant’s Report - Contents and Time | 1009 | 8 | 7(2) |
| Public Accountant Report - Contents and Time | 1009 | 8 | 7(2) |
| Contents and Time - Public Accountant Report - Annual Report | 1009 | 8 | 7(2) |
| Filing Requirements - Public Accountant Report - Access to Files | 1009-1010 | 8 | 7(3) |
| Licensee - Submission of Accountant Report - Licensee’s Obligation to Provide Access | 1009-1010 | 8 | 7(3) |
| Public Accountant Report - Licensee’s Obligation - Provide Access to Files | 1009-1010 | 8 | 7(3) |
| Independent Confirmation - Accountant’s Authority for Accountant’s Report | 1010 | 8 | 7(4) |
| Filing Requirements - Public Accountant Report - Confirm Particulars of Transactions | 1010 | 8 | 7(4) |
| Licensee - Submission of Accountant’s Report - Accountant’s Authority to Confirm Details | 1010 | 8 | 7(4) |
| Public Accountant Report - Accountant’s Authority - Confirm Particulars of Transactions | 1010 | 8 | 7(4) |
| Public Accountant Report - Filing RequirementsSee: Period of Default and Failure to Fail Accountant Report | 1009-10101010-1011 | 88 | 7(1)-7(6)8-9 |
| Filing Requirements - Public Accountant’s Report See Also: Requirement to File Annual Report | 1009-10101006 | 88 | 7(1)-7(6)5(1) |
| Cost - Public Accountant’s Report | 1010 | 8 | 7(5) |
| Filing Requirements - Cost - Public Accountant’s Report | 1010 | 8 | 7(5) |
| Licensee - Submission of Accountant’s Report - Costs | 1010 | 8 | 7(5) |
| Public Accountant Report - Costs Paid by Licensee | 1010 | 8 | 7(5) |
| Confidentiality - Public Accountant’s Report | 1010 | 8 | 7(6) |
| Duty of Confidentiality - Public Accountant’s Report - Filing Requirements | 1010 | 8 | 7(6) |
| Filing Requirements - Duty of Confidentiality - Public Accountant Report | 1010 | 8 | 7(6) |
| Licensee - Submission of Accountant’s Report - Duty of Confidentiality | 1010 | 8 | 7(6) |
| Public Accountant Duty of Confidentiality - Filing Requirements | 1010 | 8 | 7(6) |
| Public Accountant Report - Accountant’s Duty of Confidentiality | 1010 | 8 | 7(6) |
| Period of Default - Submission of Accountant’s Report | 1010 | 8 | 8(1) |
| Public Accountant Report - Period of Default | 1010 | 8 | 8(1) |
| Public Accountant Report - Failure to File - Period of Default | 1010 | 8 | 8(1) |
| License - Suspension - Failure to Submit Accountant’s Report  | 1010 | 8 | 8(1) |
| Annual Report - Requirement to File - Reinstatement of Licensee (Public Accountant Report) | 1010 | 8 | 8(2) |
| Filing Requirements - Reinstatement of Licensee - Failure to File Accountant Report | 1010 | 8 | 8(2) |
| Public Accountant Report - Failure to File - Reinstatement of License | 1010 | 8 | 8(2) |
| Reinstatement of License - Failure to File Accountant’s Report | 1010 | 8 | 8(2) |
| Filing Requirements - Failure to File Public Accountant's Report - Investigation  | 1010 | 8 | 9(1) |
| Public Accountant Report - Failure to File - Investigation | 1010 | 8 | 9(1) |
| Investigation - Filing Requirements - Failure to File Public Accountant's Report | 1010 | 8 | 9(1) |
| Failure to File - Public Accountant’s Report - Investigation | 1010-1011 | 8 | 9(1)-9(4) |
| Licensee - Submission of Accountant’s Report - Failure to File | 1010-1011 | 8 | 9(1)-9(4) |
| Public Accountant’s Report - Failure to File - Investigation | 1010-1011 | 8 | 9(1)-9(4) |
| Filing Requirements Failure to File Public Accountant Report - Investigation | 1010-1011 | 8 | 9(1)-9(4) |
| Filing Requirements - Investigations - Failure to File Public Accountant ReportSee: Requirement to File Public Accountant Report | 10111009-1010 | 88 | 9(2)7(1)-7(4) |
| Duty of Confidentiality - Investigator - Failure to Submit Accountant’s Report | 1011 | 8 | 9(3) |
| Filing Requirements - Investigations - Confidentiality | 1011 | 8 | 9(3) |
| Investigations - Confidentiality - Filing Requirements | 1011 | 8 | 9(3) |
| Costs - Investigation - Failure to Submit Accountant’s Report | 1011 | 8 | 9(4) |
| Filing Requirements - Investigations - Costs | 1011 | 8 | 9(4) |
| Investigations - Filing Requirements - Costs | 1011 | 8 | 9(4) |
| Law Society Register - Contents | 1011-1012 | 8 | 10 |
| Register - Contents of Law Society Register | 1011-1012 | 8 | 10 |
| Register - Contents | 1011-1012 | 8 | 10(1) |
| Register - Society’s Requirement to Establish | 1011-1012 | 8 | 10(1) |
| Society - Requirement to Establish Register | 1011-1012 | 8 | 10(1) |
| Time Period of Practice - Contents of Register | 1011-1012 | 8 | 10(1) |
| Register - Publication | 1012 | 8 | 10(2) |
| Society - Publication of Register (Availability to Public) | 1012 | 8 | 10(2) |
| Register - Availability to Public | 1012 | 8 | 10(2) |
| Arm Length - Interpretation | 1013 | 9 | 1(1) |
| Cash - Interpretation | 1013 | 9 | 1(1) |
| Charge - Interpretation | 1013 | 9 | 1(1) |
| Client - Interpretation | 1013 | 9 | 1(1) |
| Interpretation - Arm’s Length | 1013 | 9 | 1(1) |
| Interpretation - Cash | 1013 | 9 | 1(1) |
| Interpretation - Charge | 1013 | 9 | 1(1) |
| Interpretation - Client | 1013 | 9 | 1(1) |
| Firm of Licensees - Interpretation | 1013-1014 | 9 | 1(1) |
| Interpretation - Firm of Licensees | 1013-1014 | 9 | 1(1) |
| Holiday - Interpretation | 1014 | 9 | 1(1) |
| Interpretation - Holiday | 1014 | 9 | 1(1) |
| Interpretation - Lender | 1014 | 9 | 1(1) |
| Interpretation - Licensee | 1014 | 9 | 1(1) |
| Licensee - Interpretation | 1014 | 9 | 1(1) |
| Interpretation - Money | 1015 | 9 | 1(1) |
| Lender - Interpretation | 1014 | 9 | 1(1) |
| Money - Interpretation  | 1015 | 9 | 1(1) |
| Interpretation - Related | 1015 | 9 | 1(1) |
| Interpretation - Teranet | 1015 | 9 | 1(1) |
| Related - Interpretation | 1015 | 9 | 1(1) |
| Teranet - Interpretation | 1015 | 9 | 1(1) |
| Holiday - Time for Doing an Act | 1015 | 9 | 1(2) |
| Holiday - Time for Doing an Act Expires on a Holiday | 1015 | 9 | 1(2) |
| Deemed in Trust - Interpretation | 1015 | 9 | 1(3) |
| Interpretation - Deemed in Trust | 1015 | 9 | 1(3) |
| Interpretation - Money Received in Trust | 1015 | 9 | 1(3) |
| Money held in Trust - Deeming Rule | 1015 | 9 | 1(3) |
| Trust - Instruments Deemed to be held in Trust | 1015 | 9 | 1(3) |
| Trust - When Deemed in Trust - Interpretation | 1015 | 9 | 1(3) |
| Bankrupt Licensee - Handling Money by Bankrupt Licensee | 1015 | 9 | 2(1) |
| Handling Money by Bankrupt Licensee | 1015 | 9 | 2(1) |
| Licensee - Bankrupt - Handling Money  | 1015 | 9 | 2(1) |
| Money - Handling by Bankrupt Licensee | 1015 | 9 | 2(1) |
| Suspension Order - Prohibition on Handling of Money by Bankrupt Licensee  | 1015 | 9 | 2(1) |
| Handling Money - Bankrupt Licensee | 1015-1016 | 9 | 2(1)-2(3) |
| Handling Money by Bankrupt Licensee - Exception | 1015-1016 | 9 | 2(2)-2(3) |
| Licensee - Bankrupt - Handling of Money by Licensee (Exception)  | 1015-1016 | 9 | 2(2)-2(3) |
| Money - Handling by Bankrupt Licensee - Exception | 1015-1016 | 9 | 2(2)-2(3) |
| Bankrupt Licensee - Handling Money - Exceptions | 1015-1016 | 9 | 2(2)-2(3) |
| Exception - Services Reimbursements and Application - Handling of Money by Bankrupt Licensee | 1015-1016 | 9 | 2(2)-2(3) |
| Exception - Handling of Money by Bankrupt Licensee | 1015-1016 | 9 | 2(2)-2(3) |
| Definition - Suspended Licensee | 1016 | 9 | 2.1 |
| Definition - Suspended Order  | 1016 | 9 | 2.1 |
| Interpretation - Suspended License (Handling of Money) | 1016 | 9 | 2.1 |
| Interpretation - Suspension Order - Handling of Money by Licensee (Suspended License) | 1016 | 9 | 2.1 |
| Suspended Licensee - Definition  | 1016 | 9 | 2.1 |
| Suspended Licensee - Interpretation | 1016 | 9 | 2.1 |
| Suspended Licensee - Interpretation - Handling of Money See Also: Suspended Licensee - Obligations Resulting from Suspension | 1016987 | 97.1 | 2.18 |
| Suspended Order - Definition  | 1016 | 9 | 2.1 |
| Suspended Order - Interpretation  | 1016 | 9 | 2.1 |
| Handling Money - Licensee with Suspended License  | 1016 | 9 | 2.2(1) |
| Money - Handling by Suspended Licensee | 1016 | 9 | 2.2(1) |
| Exemption - Handling Money - Suspended Licensee | 1016 | 9 | 2.2(2) |
| Money - Handling by Suspended Licensee - Exception | 1016 | 9 | 2.2(2) |
| Suspended Licensee - Handling Money - Exception | 1016 | 9 | 2.2(2) |
| Suspended Licensee - Handling Money -Trust Account - Withdraw and Close | 1017 | 9 | 2.3(1) |
| Suspension - Trust Accounts | 1017 | 9 | 2.3(1) |
| Trust Account - Suspended License - Obligations | 1017 | 9 | 2.3(1) |
| Trust Account - Suspended Licensee - Handling of Money | 1017-1018 | 9 | 2.2(1)- 2.4 |
| Suspension - Trust Accounts - Obligations | 1017-1018 | 9 | 2.3(1)-2.3(4) |
| Suspended Licensee - Handling Money -Trust Account - Exemption by Transfer | 1018 | 9 | 2.3(2) |
| Trust account - Handling Money by Suspended Licensee - Exemption  | 1018 | 9 | 2.3(2) |
| Exemption - Suspended License Obligations - Trust Account  | 1018 | 9 | 2.3(2) |
| Part IV - Application - Trust Account | 1018 | 9 | 2.3(3) |
| Trust Account - Part IV Application - Withdrawal from Trust Account (Suspended Licensee) | 1018 | 9 | 2.3(3) |
| Handling Money by Suspended Licensee - Report Compliance to Law Society | 1018 | 9 | 2.3(4) |
| Society - Report upon Suspension - Trust Accounts | 1018 | 9 | 2.3(4) |
| Suspended Licensee - Handling Money -Trust Account - Report of Compliance to Society | 1018 | 9 | 2.3(4) |
| Suspension - Trust Accounts - Report to Society | 1018 | 9 | 2.3(4) |
| Trust account - Suspended Licensee - Handling of Money - Report of Compliance to Law Society | 1018 | 9 | 2.3(4) |
| Exemption - Handling Money by Suspended Licensee (Permission to be Exempt) | 1018 | 9 | 2.4 |
| Handling Money by Suspended Licensee - Permission for Exemption | 1018 | 9 | 2.4 |
| Suspended Licensee - Handling Money -Trust Account - Request for Exemption | 1018 | 9 | 2.4 |
| Suspension - Trust Accounts - Obligations - Exemptions | 1018 | 9 | 2.4 |
| Trust Account - Suspended Licensee - Handling of Money - Request for Exemption | 1018 | 9 | 2.4 |
| Definition - Funds - Cash transactions | 1018 | 9 | 3 |
| Definition - Public Body - Cash transactions | 1018-1019 | 9 | 3 |
| Funds - Definition (Cash Transactions) | 1018 | 9 | 3 |
| Public Body - Definition (Cash Transactions)  | 1018-1019 | 9 | 3 |
| Cash Received - Limitation | 1019 | 9 | 4(1) |
| Cash Transactions - Cash Received - Limitation  | 1019 | 9 | 4(1) |
| Licensee - Cash Received - Limitation  | 1019 | 9 | 4(1) |
| Receiving Cash - Limit | 1019 | 9 | 4(1) |
| Transactions - Cash Received - Limit | 1019 | 9 | 4(1) |
| Cash Received - Foreign Currency | 1019 | 9 | 4(2) |
| Cash Transactions - Foreign currency | 1019 | 9 | 4(2) |
| Foreign Currency - Cash Received | 1019 | 9 | 4(2) |
| Licensee - Cash Received - Foreign Currency | 1019 | 9 | 4(2) |
| Transactions - Cash Received - Foreign Currency | 1019 | 9 | 4(2) |
| Cash Received - Application of Cash Received Requirements | 1019 | 9 | 5 |
| Cash Transactions - Application of Cash Received Requirements | 1019 | 9 | 5 |
| Receiving Cash - Application | 1019 | 9 | 5 |
| Transactions - Cash Received - Application | 1019 | 9 | 5 |
| Cash Received - Exceptions | 1019-1020 | 9 | 6 |
| Cash Transactions - Exemptions to Application | 1019-1020 | 9 | 6 |
| Exemption - Application - Cash Transactions | 1019-1020 | 9 | 6 |
| Receiving Cash - Exceptions | 1019-1020 | 9 | 6 |
| Transactions - Cash Received - Exceptions | 1019-1020 | 9 | 6 |
| Transactions - Trust Account - Money Received in Trust for Client  | 1020 | 9 | 7(1) |
| Money - Trust Account - Money Received in Trust for Client  | 1020 | 9 | 7(1) |
| Trust Account Transactions - Money Received in Trust for Client | 1020 | 9 | 7(1) |
| Interpretation - Receiving Money in Trust for Client | 1020-1021 | 9 | 7(2) |
| Receiving Money in Trust for Client - Interpretation | 1020-1021 | 9 | 7(2) |
| Trust Account Transactions - Interpretation - Receiving Money in Trust for Client | 1020-1021 | 9 | 7(2) |
| Trust Account - Trust Account Transactions See Also: Trust Account - Handling of Money by Suspended Licensee  | 1020-10211017 | 99 | 7(1)-7(5)2.3(1) |
| Trust Account Transactions - Money Received in Trust Account - Additional Requirements to s. 7 (1) | 1021 | 9 | 7(3) |
| Trust - Money Received before License Issued | 1021 | 9 | 7(3.1) |
| Trust Account Transactions - Money Received before License Issued | 1021 | 9 | 7(3.1) |
| Trust Account Transactions - Withdrawal of Money from Trust AccountSee also: Permitted Money Withdrawn from Trust Account | 10211022 | 99 | 7(4)9(1) |
| Withdrawal - Trust Account | 10211022-1023 | 9 | 7(4)9 |
| Trust Account - Withdrawals See also: Limits on Withdrawal - Closing Funds - Teranet | 10211022-1031 | 99 | 7(4)9-17 |
| Trust Account - Licensee - Multiple Accounts are Permitted | 1021 | 9 | 7(5) |
| Trust Account Transactions - Trust Accounts - Multiple Accounts are Permitted | 1021 | 9 | 7(5) |
| Trust Account - Money - Not Required to be Paid in Trust Account | 1021-1022 | 9 | 8(1)-8(2) |
| Trust Account Transactions - Money - Not Required to be Paid into Trust Account | 1021-1022 | 9 | 8(1)-8(2) |
| Trust Account - Record Keeping Requirements | 1022 | 9 | 8(3) |
| Trust Account Transactions - Record Keeping Requirements | 1022 | 9 | 8(3) |
| Record Keeping Requirements - Trust Account | 1022 | 9 | 8(3) |
| Trust Account - Withdrawal - Monies that can be Withdrawn  | 1022 | 9 | 9(1) |
| Trust Account Transactions - Monies that can be Withdrawn  | 1022 | 9 | 9(1) |
| Withdrawal - Trust Account - Monies that can be Withdrawn | 1022 | 9 | 9(1) |
| Trust Account - Permission to Withdraw other Money  | 1023 | 9 | 9(2) |
| Trust Account Transactions - Permission to Withdraw other Money | 1023 | 9 | 9(2) |
| Withdrawal - Trust Account - Permission to Withdraw other Money | 1023 | 9 | 9(2) |
| Trust Account - Withdrawal - Limit on Withdrawal  | 1023 | 9 | 9(3) |
| Trust Account Transactions - Limit on Amount Withdrawn  | 1023 | 9 | 9(3) |
| Withdrawal - Trust Account - Limit on Withdrawal | 1023 | 9 | 9(3) |
| Trust Account Transactions - Manner of Withdrawal | 1023 | 9 | 10 |
| Withdrawal - Trust Account - Manner of Withdrawal | 1023 | 9 | 10 |
| Trust Account - Withdrawal - Manner of Withdrawal | 1023 | 9 | 10 |
| Cheque - Withdrawal from Trust Account | 1023 | 9 | 11 |
| Trust Account Transactions - Withdrawal by Cheque | 1023 | 9 | 11 |
| Withdrawal - Trust Account - Manner of Withdrawal - Cheque | 1023 | 9 | 11 |
| Trust Account - Withdrawal - Cheque | 1023 | 9 | 11 |
| Trust Account Transactions - Withdrawal - Electronic Transfer | 1023 | 9 | 12(1) |
| Trust Account - Withdrawal - Electronic Transfer  | 1023 | 9 | 12(1) |
| Trust Account Transactions - Permitted Withdrawals - Electronic Transfer | 1023-1025 | 9 | 12(2) |
| Withdrawal - Trust Account - Permitted Withdrawals - Electronic Transfer | 1023-1025 | 9 | 12(2) |
| Trust Account - Permitted Withdrawals - Electronic Transfer | 1023-1025 | 9 | 12(2) |
| Confirmation - Withdrawal from Trust Account - Electronic Transfer | 1023-1025 | 9 | 12(1)-12(4) |
| Withdrawal - Trust Account - Manner of Withdrawal - Electronic Transfer | 1023-1025 | 9 | 12(1)-12(4) |
| Trust Account Transactions - Electronic Transfer - Manner of Withdrawal from Trust Account  | 1023-1025 | 9 | 12(1)-12(7) |
| Withdrawal - Trust Account - Manner of Withdrawal - Electronic Transfer - Closing Funds | 1023-1026 | 9 | 12(2)-12(6) |
| Trust Account Transactions - Electronic Transfer - Application to Sole Practitioner | 1025 | 9 | 12(3) |
| Sole Practitioner - Trust Account - Withdrawal of Funds by Electronic Transfer | 1025 | 9 | 12(3)-12(4) |
| Withdrawal - Trust Account - Manner of Withdrawal - Electronic Transfer - Sole Practitioner | 1025 | 9 | 12(3)-12(4) |
| Trust Account Transactions - Electronic Transfer - Sole Practitioner - Exceptional Circumstances | 1025 | 9 | 12(4) |
| Trust Account transactions - Additional Requirements Relating to Confirmation | 1025-1026 | 9 | 12(5)-12(6) |
| Withdrawal - Trust Account - Manner of Withdrawal - Electronic Transfer - Confirmation | 1025-1026 | 9 | 12(5)-12(6) |
| Trust Account Transactions - Electronic Trust Transfer Requisition | 1026 | 9 | 12(7) |
| Withdrawal - Trust Account - Manner of Withdrawal by Electronic Transfer - Form 9A | 1026 | 9 | 12(7) |
| Closing Funds - Definition | 1026 | 9 | 13(1) |
| Closing Funds - Interpretation | 1026 | 9 | 13(1) |
| Definition - Closing Funds | 1026 | 9 | 13(1) |
| Definition - Transaction in Real Estate | 1026 | 9 | 13(1) |
| Transaction in Real Estate - Definition | 1026 | 9 | 13(1) |
| Trust Account Transactions - Closing Funds - Definition  | 1026 | 9 | 13(1) |
| Trust Account Transactions - Transaction in Real Estate - Definition | 1026 | 9 | 13(1) |
| Trust Account - Withdrawal by Electronic Transfer - Closing Funds | 1026 | 9 | 13(2) |
| Closing Funds - Withdrawal by Electronic Transaction - Trust Account Transactions | 1026 | 9 | 13(2) |
| Withdrawal - Closing Funds | 1026-1028 | 9 | 13(2)-13(6) |
| Trust Account Transactions - Withdrawal - Closing Funds | 1026-1027 | 9 | 13(3) |
| Trust Account Transactions - Confirmation - Additional Requirements  | 1027-1028 | 9 | 13(4)-13(5) |
| Trust Account Transactions - Electronic Transfer Requisition Form - Closing funds | 1028 | 9 | 13(6) |
| Balance - Trust Account - Requirement to Maintain Sufficient Balance | 1028 | 9 | 14 |
| Trust Account Transaction - Requirement to Maintain Sufficient Balance | 1028 | 9 | 14 |
| Trust Account - Requirement to Maintain Sufficient Balance in Account | 1028 | 9 | 14 |
| Withdrawal - Trust Account - Requirement to Maintain Sufficient Balance | 1028 | 9 | 14 |
| Authorization - Withdrawal - Trust Account - Teranet | 1028 | 9 | 15(1) |
| Automatic Withdrawals from Trust Accounts - Authorizing Teranet - Trust account | 1028 | 9 | 15(1) |
| Trust Account Transactions - Trust Account - Withdrawal by Teranet | 1028 | 9 | 15(1) |
| Teranet - Trust Account - Withdrawal - Authorization | 1028 | 9 | 15(1) |
| Trust Account - Automatic Withdrawals - Authorizing Teranet | 1028 | 9 | 15(1) |
| Automatic Withdrawals - Trust Accounts - Conditions | 1028 | 9 | 15(2) |
| Teranet - Trust Account - Withdrawal - Conditions | 1028 | 9 | 15(2) |
| Trust Account - Conditions for Automatic Withdrawal by Teranet | 1028 | 9 | 15(2) |
| Trust Account Transactions - Conditions for Automatic Withdrawals - Teranet | 1028 | 9 | 15(2) |
| Automatic Withdrawals from Trust Accounts - Time of Receipt of Confirmation | 1028 | 9 | 15(3) |
| Teranet - Trust Account - Withdrawal - Confirmation and Timing | 1028 | 9 | 15(3) |
| Trust Account Transactions - Withdrawal by Teranet - Confirmation and Timing  | 1028 | 9 | 15(3) |
| Trust Account - Automatic Withdrawals by Ternaet - Confirmation and Time of Receipt  | 1028 | 9 | 15(3) |
| Automatic Withdrawals from Trust accounts - Contents of Confirmation | 1029 | 9 | 15(4) |
| Teranet - Trust Account - Withdrawal - Confirmation and Contents | 1029 | 9 | 15(4) |
| Trust Account - Automatic Withdrawals by Teranet - Confirmation and Contents of Confirmation  | 1029 | 9 | 15(4) |
| Withdrawal - Trust Account - Teranet  | 1028-1029 | 9 | 15(1)-15(7) |
| Automatic Withdrawals from Trust Accounts - Written Record of Authorization | 1029 | 9 | 15(5)-15(6) |
| Teranet - Trust Account - Withdrawal - Written and Other Requirements | 1029 | 9 | 15(5)-15(7) |
| Automatic Withdrawals from Trust accounts - Additional Requirements - Confirmation | 1029 | 9 | 15(7) |
| Trust Account - Automatic Withdrawals by Teranet - Confirmation and Additional Requirements  | 1029 | 9 | 15(7) |
| Automatic Withdrawals from Trust Accounts - Special Trust Account | 1030 | 9 | 16(1) |
| Special Trust Account - Automatic Withdrawals from Trust Accounts - Special Trust Account | 1030 | 9 | 16(1) |
| Teranet - Trust Account - Withdrawal - Special Accounts | 1030 | 9 | 16(1) |
| Trust Account - Automatic Withdrawal by Ternat - Special Trust Account  | 1030 | 9 | 16(1) |
| Automatic Withdrawals from Trust Accounts - Permitted Multiple Special Trust Accounts | 1030 | 9 | 16(2) |
| Teranet - Trust Account - Withdrawal - Special Accounts - One or More Accounts | 1030 | 9 | 16(2) |
| Trust Account - Automatic Withdrawal from Special Trust Account - One or More  | 1030 | 9 | 16(2) |
| Automatic Withdrawals from Trust Accounts - Payment of Money - Special Trust Account | 1030 | 9 | 16(3) |
| Teranet - Trust Account - Withdrawal - Special Accounts - Payment into Account | 1030 | 9 | 16(3) |
| Trust Account - Automatic Withdrawal from Special Trust Account - Payment into Account  | 1030 | 9 | 16(3) |
| Special Trust Account - Withdrawal - Payment into Account | 1030 | 9 | 16(3) |
| Automatic Withdrawals from Trust Accounts - Time Limit on Money - Special Trust Account | 1030 | 9 | 16(4) |
| Special Trust Account - Time Limit - Money in Special Trust Account | 1030 | 9 | 16(4) |
| Teranet - Trust Account - Withdrawal from Special Accounts - Time Limit | 1030 | 9 | 16(4) |
| Trust Account - Special Trust Account - Time Limit on Holding Money  | 1030 | 9 | 16(4) |
| Automatic Withdrawals from Trust Accounts - Time Limit on Money in Special Trust Account - Counting Days  | 1030 | 9 | 16(5) |
| Special Trust Account - Time Limit - Counting Days  | 1030 | 9 | 16(5) |
| Trust Account - Time Limit on Holding Money - Counting Days  | 1030 | 9 | 16(5) |
| Automatic Withdrawals from Trust Accounts - Application of Other Sections  | 1031 | 9 | 17 |
| Special Trust Account - Withdrawal Rules Apply | 1031 | 9 | 17 |
| Teranet - Trust Account - Withdrawal - Withdrawal Rules Apply | 1031 | 9 | 17 |
| Trust Account - Special Trust Account - Withdrawal Rules Apply | 1031 | 9 | 17 |
| Financial Records - Requirement to Maintain | 1031-1032 | 9 | 18 |
| Record Keeping Requirements - Requirements for Financial Records | 1031-1032 | 9 | 18 |
| Records - Financial  | 1031-1035 | 9 | 18-24 |
| Record Keeping Requirements - Cash | 1032-1033 | 9 | 19(1) |
| Cash Received - Record Keeping | 1032-1033 | 9 | 19(1)-19(2) |
| Financial Records - Cash Received | 1032-1033 | 9 | 19(1)-19(2) |
| Financial Records - Cash Received - Defence for no Signature  | 1033 | 9 | 19(2) |
| Record Keeping Requirements - Dash Received - Defence for no Signature | 1033 | 9 | 19(2) |
| Charges and Mortgages - Financial Records | 1033-1034 | 9 | 20 |
| Charges and Mortgages - Held in Trust for Clients - Financial Records  | 1033-1034 | 9 | 20 |
| Financial Records - Mortgages and Charges | 1033-1034 | 9 | 20 |
| Mortgages and Charges - Financial Records | 1033-1034 | 9 | 20 |
| Mortgages and Charges - Held in Trust for Clients - Financial Records  | 1033-1034 | 9 | 20 |
| Record Keeping Requirements - Mortgages and Other Charges Held in Trust | 1033-1034 | 9 | 20 |
| Financial Records - Permanence Requirements | 1034 | 9 | 21(1) |
| Record Keeping Requirements - Financial Records must be Permanent | 1034 | 9 | 21(1) |
| Financial Records - Paper Copies | 1034 | 9 | 21(2) |
| Record Keeping Requirements - Paper Copies of Financial Records | 1034 | 9 | 21(2) |
| Financial Records - Records Must be Current | 1034 | 9 | 22(1) |
| Record Keeping Requirements - Financial Records to be Current | 1034 | 9 | 22(1) |
| Financial Records - Acting for Lender - Exceptions | 1035-1036 | 9 | 24(2) |
| Record Keeping Requirements - Exceptions - Acting for Lender | 1035-1036 | 9 | 24(2) |
| Financial Records - Requirement to be Current - Exception | 1034 | 9 | 22(2) |
| Record Keeping Requirements - Exception - Financial Records to be Current | 1034 | 9 | 22(2) |
| Financial Records - Preservation of Records - Time Limit | 1034-1035 | 9 | 23(1)-23(2) |
| Record Keeping Requirements - Preservation of Financial Records - Time Limit | 1034-1035 | 9 | 23(1)-23(2) |
| Financial Records - Preservation Requirements | 1034-1035 | 9 | 23(1)-23(3) |
| Financial Records - Preservation of Financial Records - Time Limit (Mortgages and Charges) | 1035 | 9 | 23(3) |
| Record Keeping Requirements - Preservation of Financial Records - Time Limit (Mortgages and Charges)  | 1035 | 9 | 23(3) |
| Financial Records - Acting for Lender | 1035 | 9 | 24(1) |
| Record Keeping Requirements - Acting for Lender | 1035 | 9 | 24(1) |
| Records - Acting for Lender  | 1035 | 9 | 24(1) |
| Financial Records - Acting for Lender - Required Documents | 1036-1037 | 9 | 24(3)-24(6) |
| Record Keeping Requirements - Acting for Lender - Provide Document to Lender | 1036 | 9 | 24(3) |
| Financial Records - Acting for Lender - Requirement to Add File | 1036 | 9 | 24(4) |
| Record Keeping Requirements - Acting for Lender - Requirement to Add File | 1036 | 9 | 24(4) |
| Record Keeping Requirements - Report on investment Form 9E | 1037 | 9 | 24(10) |
| Record Keeping Requirements - Application of Requirement to Add File - Acting for Lender | 1036 | 9 | 24(5) |
| Financial Records - Acting for Lender - Application of Requirement to Add File | 1036 | 9 | 24(5) |
| Financial Records - Acting for Lender - Adding to File - Application See: Record Keeping Requirements when Acting for Lender | 10361035 | 99 | 24(5)24(1) |
| Record Keeping Requirements - Deliver Documents to Lender - Report on Investment | 1037 | 9 | 24(6) |
| Financial Records - Report on Investment - Deliver Documents to Lender | 1037 | 9 | 24(6) |
| Record Keeping Requirements - Requirement to Add to File - Substitutions | 1037 | 9 | 24(7) |
| Financial Records - Acting for Lender - Adding to File - Exceptions | 1037 | 9 | 24(8) |
| Record Keeping Requirements - Requirement to Add to File - Exceptions  | 1037 | 9 | 24(8) |
| Form 9D - Investment Authority | 1037 | 9 | 24(9) |
| Investment Authority - Form 9D | 1037 | 9 | 24(9) |
| Form 9E - Investment Report | 1037 | 9 | 24(10)-24(11) |
| Investment - Report - Form 9E | 1037 | 9 | 24(10)-24(11) |
| Record Keeping Requirements - Report on Investment - Alternatives to Form 9E | 1037 | 9 | 24(11) |
| Forms - Report on Investment Form Requirements 9D and 9E  See: Record Keeping Requirements | 10371031-1032 | 99 | 24(9)-24(11)18 |
| Record Keeping Requirements - Investment Authority Form 9D | 1037 | 9 | 24(9) |